

October 25, 2001

To: Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Fr: George O. Saile      Reg. No. 19,572  
20 McIntosh Drive  
Poughkeepsie, N.Y. 12603

Subject:

**Divisional Patent Application of**

Serial No.: 09/292,360 4/15/99

YA-FEN LIN, CHANG-SONG LIN, CHIA-TA HSIEH,  
HUNG-CHENG SUNG, JUANG-KE YEH

A METHOD TO FABRICATE A NON-SMILING EFFECT  
STRUCTURE IN SPLIT-GATE FLASH WITH  
SELF-ALIGNED ISOLATION

**PRELIMINARY AMENDMENT**

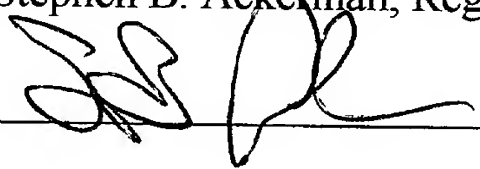
Dear Sir:

This is a preliminary amendment for the above referenced Divisional Patent Application. Please amend the above identified application for patent as follows:

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on October 25, 2001.

Stephen B. Ackerman, Reg. No. 37,761

Signature/Date  10/25/01

**PLEASE AMEND THE SPECIFICATION AS FOLLOWS:**

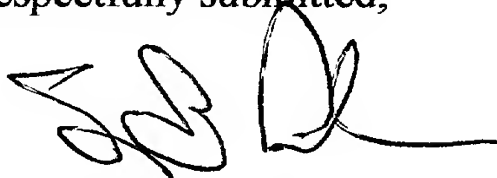
After the title, insert -- This is a division of Patent Application serial number 09/292,360, filing date 4/15/99, A Method To Fabricate A Non-Smiling Effect Structure In Split-Gate Flash With Self-Aligned Isolation, assigned to the same assignee as the present invention.

**REMARKS**

A reference to the parent case has been added by Preliminary Amendment to this Divisional Patent Application.

The application is believed to be in condition for allowance. Allowance of the subject Patent Application is therefore respectfully requested.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'SBA', with a long horizontal flourish extending to the right.

STEPHEN B. ACKERMAN, REG. NO. 37,761